

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Steven Kirk Donoho et al. : Group Art Unit Not yet assigned
Serial No.: Not yet assigned : Examiner: Not Yet Assigned
Filed: October 15, 2003 :
For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. Copies are provided. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

AUTHORIZATION

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 50-0436.

Respectfully submitted,
PEPPER HAMILTON LLP



James M. Singer
Registration No. 45,111

One Mellon Center, 50th Floor
500 Grant Street
Pittsburgh, PA 15219
Phone: (412) 454-5000
Fax: (412) 281-0717
Date: 10-15-03

Substitute for form 1449A/PTC

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	Not yet assigned
Filing Date	October 15 2003
First Named Inventor	Steven Kirk Donoho
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	124256.0401

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	/Edward Chang/	Date Considered	04/15/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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control number		Complete if Known	
Substitute for form 1449B/PTO		Application Number	Not yet assigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	October 15, 2003
		First Named Inventor	Steven Kirk Donoho
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
		Attorney Docket Number	142256.0401
Sheet	2	of	2

[illegible]

Examiner Signature	/Edward Chang/	Date Considered	04/15/2008
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